OIPE JC41 OCT 29 2003 PATENT & TRADEMARK OFFICE

# TRANSMITTAL FORM

*(to be used for all correspondence after initial filing)*

| | |
|---|---|
| Application Number | 10/677,887 |
| Filing Date | October 1, 2003 |
| First Named Inventor | LEEFLANG, STEPHEN A. |
| Art Unit | Unassigned |
| Examiner Name | Unassigned |
| Total Number of Pages in This Submission | Attorney Docket Number: 19744P-002310US |

**ENCLOSURES** *(Check all that apply)*

- ☐ Fee Transmittal Form
  - ☐ Fee Attached
- ☐ Amendment/Reply
  - ☐ After Final
  - ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/ Incomplete Application
  - ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s)
- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (**Appeal Notice, Brief, Reply Brief**)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) *(please identify below)*:

  Return Postcard

  PTO/SB/08A & PTO/SB/08B

  8 Reference Copies

**Remarks**

The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

| | |
|---|---|
| Firm or Individual | Townsend and Townsend and Crew LLP<br>James M. Heslin Reg. No. 29,541 |
| Signature | |
| Date | October 23, 2003 |

**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

| | | | |
|---|---|---|---|
| Typed or printed name | Edward Masinas | | |
| Signature | Edward Masinas | Date | October 24, 2003 |

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

On 10-24-03

TOWNSEND and TOWNSEND and CREW LLP

By: Edward Masinas
Edward Masinas

OIPE JC18 OCT 29 2003 PATENT & TRADEMARK OFFICE

PATENT
Attorney Docket No.: 19744P-002310US

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

DENISE DEMARAIS et al.

Application No.: 10/677,887

Filed: October 1, 2003

For: APPARATUS AND METHODS FOR CAPTURING PARTICULATE MATERIAL WITHIN BLOOD VESSELS

Examiner: Unassigned

Art Unit: Unassigned

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.97 and §1.98

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. In accordance with 35 USC § 371 the U.S. Patents are not enclosed. Copies of the foreign references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no

representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

James M. Heslin
Reg. No. 29,541

TOWNSEND and TOWNSEND and CREW LLP
Two Embarcadero Center, 8th Floor
San Francisco, California 94111-3834
Tel: 650-326-2400
Fax: 650-326-2422
JMH:ewm
60052125 v1

OIPE
OCT 2 9 2003
PATENT & TRADEMARK OFFICE

Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(use as many sheets as necessary)*

Sheet 1 of 2

| *Complete if Known* | |
|---|---|
| Application Number | 10/677,887 |
| Filing Date | October 1, 2003 |
| First Named Inventor | LEEFLANG, STEPHEN A. |
| Art Unit | Unassigned |
| Examiner Name | Unassigned |
| Attorney Docket Number | 19744P-002310US |

## U.S. PATENT DOCUMENTS+

| Examiner Initials* | Cite No.[1] | Document Number Number Kind Code[2] (*if known*) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|---|---|---|---|---|---|
| /V.N./ | 1 | 4,950,238 | 08-21-1990 | Sullivan | |
| /V.N./ | 2 | 5,300,022 | 04-05-1994 | Wunner et al. | |
| /V.N./ | 3 | 5,947,988 | 09-07-1999 | Smith | |
| /V.N./ | 4 | 6,361,545 | 03-26-2002 | Macoviak et al. | |

## FOREIGN PATENT DOCUMENTS

| Examiner Initials* | Cite No.[1] | Foreign Patent Document Country Code[3] | Number[4] | Kind Code[5] (*if known*) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T[6] |
|---|---|---|---|---|---|---|---|---|
| /V.N./ | 5 | EP | 0 737 450 | A1 | 10-16-1996 | Bovdewijn | | ☐ |
| /V.N./ | 6 | EP | 0 934 729 | A1 | 08-11-1999 | Morris et al. | | ☐ |
| /V.N./ | 7 | WO | 00/29045 | A1 | 05-25-2000 | Cimino | | ☐ |
| /V.N./ | 8 | WO | 98/39046 | A1 | 09-11-1998 | Zadno-Azizi et al. | | ☐ |

| Examiner Signature | /Victor Nguyen/ | Date Considered | 03/05/2008 |
|---|---|---|---|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. [1] **Applicant's** unique citation designation number **(optional)**. [2] Kind Codes of U.S. Patent Documents at www.uspto.gov or MPEP 901.04. [3] Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). [4] For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. [5] Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. [6] Applicant is to place a check mark here if English language Translation is attached.

PATENT & TRADEMARK OFFICE OCT 29 2003

Substitute for form 1449B/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(use as many sheets as necessary)*

Sheet 2 of 2

| *Complete if Known* | |
|---|---|
| *Application Number* | 10/677,887 |
| *Filing Date* | October 1, 2003 |
| *First Named Inventor* | LEEFLANG, STEPHEN A. |
| *Art Unit* | Unassigned |
| *Examiner Name* | Unassigned |
| *Attorney Docket Number* | 19744P-002310US |

**NON PATENT LITERATURE DOCUMENTS**

| Examiner Initials * | Cite No.[1] | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T[2] |
|---|---|---|---|
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |

| Examiner Signature | /V.N./ | Date Considered | 03/05/2008 |
|---|---|---|---|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

[1] Applicant's unique citation designation number (optional). [2] Applicant is to place a check mark here if English language Translation is attached.